

Algorithms for lattices

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June 2016



Bilinear spaces

Let K be an algebraic number field with ring of integers \mathbb{Z}_K . Let Ω be the set of places of K and \mathbb{P} denotes the prime ideals of \mathbb{Z}_K .

Definition

- 1 A bilinear space (V, Φ) is a finite dimensional vector space V over K equipped with some regular bilinear form $\Phi: V \times V \rightarrow K$.
- 2 Two bilinear spaces (V, Φ) and (V', Φ') are said to be isometric, if there exists some isomorphism $\varphi \in \text{Hom}_K(V, V')$ such that

$$\Phi'(\varphi(x), \varphi(y)) = \Phi(x, y) \text{ for all } x, y \in V .$$

Then φ is called an isometry.

- 3 $O(V, \Phi) = \{\varphi: V \rightarrow V \mid \varphi \text{ an isometry}\}$ the orthogonal group of (V, Φ) .

Given a place $v \in \Omega$, let K_v and $V_v := V \otimes_K K_v$ be the completions of K and V at v .

Theorem (Local-Global Principle, Hasse-Kneser-Landherr-Springer)

The spaces (V, Φ) and (V', Φ') are isometric if and only if the completions (V_v, Φ) and (V'_v, Φ') are isometric for every place v of K .

This yields classifications of global bilinear spaces via the following invariants:

- 1 The rank m of V .
- 2 The determinant.
- 3 The signature of (V_v, Φ) at the real places v of K .
- 4 The finite set $\{\mathfrak{p} \in \mathbb{P} \mid \text{Hasse}(V_{\mathfrak{p}}, \Phi) = -1\}$.

Definition

A bilinear space (V, Φ) over K is said to be (totally positive) definite if

- 1 K is totally real
- 2 $\Phi(x, x)$ is totally positive for all nonzero $x \in V$.

We will concentrate on definite spaces for most of the talk.

Deciding if two bilinear spaces are isometric is trivial (compare invariants!).

Constructing one with given invariants is more difficult:

- 1 The case $m = 1$ is trivial (determinant).
- 2 If $m \geq 3$ the local-global principle yields some $a \in K^*$ represented by Φ . So

$$(V, \Phi) = \langle a \rangle \perp (V', \Phi')$$

for some space (V', Φ') for which we know its invariants.

- 3 So this leaves the case $m = 2$:
 - 1 Let $S = \{v \in \Omega \mid \text{Hasse}(V_v, \Phi) = -1\}$.
 - 2 Let $a \in K^*$ such that $a \notin (K_v^*)^2$ for all $v \in S$.
 - 3 There exists some $b \in K^*$ supported at $(S \cap \mathbb{P}) \cup \{q\}$ with q “small” such that

$$\{v \in \Omega \mid (a, b)_v = -1\} = S$$

and $\langle a, b \rangle$ has the correct signature at the real places of K .

One can find such a b using linear algebra over \mathbb{F}_2 provided that one knows the class group $\text{Cl}(K)$ and generator for the unit group \mathbb{Z}_K^* .

- 4 Then $(V, \Phi) \cong \langle a, b \rangle$.

This is the same as “Finding a quaternion algebra with given ramification”.

Definition

Let L be a lattice in a bilinear space (V, Φ) , i.e. a finitely generated \mathbb{Z}_K -submodule of V such that $KL = V$.

- 1 The dual $L^\# = \{x \in V \mid \Phi(x, L) \subseteq \mathbb{Z}_K\}$ is also a lattice.
- 2 L is called unimodular if $L = L^\#$.
- 3 More generally, if $L = \mathfrak{a}L^\#$ for some fractional ideal \mathfrak{a} of \mathbb{Z}_K , then L is called \mathfrak{a} -modular.
- 4 Lattices L, L' in bilinear spaces (V, Φ) and (V', Φ') are isometric if there exists some isometry $\varphi: (V, \Phi) \rightarrow (V', \Phi')$ such that $\varphi(L) = L'$.
- 5 The automorphism group of L is

$$\text{Aut}(L) = \{\varphi: L \rightarrow L \mid \varphi \text{ an isometry}\}.$$

Similarly, one can define isometries between $L_{\mathfrak{p}}$ and $L'_{\mathfrak{p}}$ where $L_{\mathfrak{p}} = L \otimes_{\mathbb{Z}_K} \mathbb{Z}_{K_{\mathfrak{p}}}$ is the completion of L at a prime ideal \mathfrak{p} of \mathbb{Z}_K .

- A lattice L in V is represented efficiently on a computer using a pseudo-basis

$$L = \bigoplus_{i=1}^m \mathfrak{a}_i x_i \quad \text{where } x_i \in V \text{ and fractional ideals } \mathfrak{a}_i \text{ of } K.$$

Using pseudo-bases one can perform basic operations like comparison, addition, intersection,...

- But is also good for taking completions at $\mathfrak{p} \in \mathbb{P}$, since $L_{\mathfrak{p}}$ has the basis

$$(\pi^{\text{ord}_{\mathfrak{p}}(\mathfrak{a}_1)} x_1, \dots, \pi^{\text{ord}_{\mathfrak{p}}(\mathfrak{a}_m)} x_m)$$

where $\pi \in K$ denotes a uniformizer of \mathfrak{p} .

- Pseudo bases are also useful for local “manipulations”. For example, to compute maximal sublattices X_1, \dots, X_r of L that contain $\mathfrak{p}L$, do this:

- 1 Let M be the lattice with the basis above basis.
- 2 Since M is free and $M/\mathfrak{p}M \cong (\mathbb{Z}_K/\mathfrak{p})^m$, one can write down the maximal sublattices Y_1, \dots, Y_r of M that contain $\mathfrak{p}M$.

Jordan decomposition

A variation of the Gram-Schmidt orthogonalization shows that

$$L_{\mathfrak{p}} \cong L_0 \perp L_1 \perp \dots \perp L_s$$

where L_i is \mathfrak{p}^i -modular.

If $\mathfrak{p} \nmid 2$, then the isometry class of $L_{\mathfrak{p}}$ is uniquely determined by

$$\text{rank}(L_0), \dots, \text{rank}(L_s) \text{ and } \det(L_0), \dots, \det(L_s).$$

The description of the isometry classes in the case $\mathfrak{p} \mid 2$ is much more involved and is due to O'Meara.

Example

Suppose $K = \mathbb{Q}$. Let L and L' be lattices with Gram matrices

$$\begin{pmatrix} 1 & 1/2 \\ 1/2 & 8 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} 2 & 1/2 \\ 1/2 & 4 \end{pmatrix}.$$

The envelopping quadratic spaces of L and L' are isometric and $L_p \cong L'_p$ for all primes p but $L \not\cong L'$ since L represents 1 while L' does not.

So the local-global principle does not hold for lattices. This leads to the following definition.

Definition

The class and the genus of a lattice L in a bilinear space (V, Φ) are

$$\text{cls}(L) = \{L' \subset V \mid L' \text{ a lattice such that } L \cong L'\}$$

$$\text{gen}(L) = \{L' \subset V \mid L' \text{ a lattice such that } L_p \cong L'_p \text{ for all } \mathfrak{p} \in \mathbb{P}\}.$$

Theorem (Borel)

The genus decomposes into finitely many isometry classes

$$\text{gen}(L) = \bigsqcup_{i=1}^h \text{cls}(L_i)$$

and $h(L) = h(\text{gen}(L)) = h$ is called the class number of L or of $\text{gen}(L)$.

The local-global principle holds for L if and only if $h = 1$. Otherwise h measures “by how much” it fails.

Problems

- 1 Find some lattice in a given genus.
- 2 Decide if $L' \in \text{cls}(L)$.
- 3 Find representatives L_1, \dots, L_h of the isometry classes in $\text{gen}(L)$.

A lattice M in (V, Φ) is called maximal, if $\Phi(x, x) \in \mathbb{Z}_K$ for all $x \in M$ and M is maximal with this property. The maximal lattices always form a single genus.

Finding a lattice L in a genus G given by invariants

- 1 Let M be a maximal lattice in (V, Φ) .
- 2 Let $P = \{\mathfrak{p} \in \mathbb{P} \mid L_{\mathfrak{p}} \not\cong M_{\mathfrak{p}} \text{ for } L \in G\}$.
- 3 For $\mathfrak{p} \in P$, find a sublattice $X^{(\mathfrak{p})}$ of M such that

$$X_{\mathfrak{p}}^{(\mathfrak{p})} \cong L_{\mathfrak{p}} \text{ for } L \in G \quad \text{and} \quad X_{\mathfrak{q}}^{(\mathfrak{p})} = M_{\mathfrak{q}} \text{ for } \mathfrak{q} \neq \mathfrak{p}.$$

- 4 $L := \bigcap_{\mathfrak{p} \in P} X^{(\mathfrak{p})} \in G$ does the trick.

Step (3) is easy if $\mathfrak{p} \nmid 2$ (manipulate a Jordan decomposition of M).
 For $\mathfrak{p} \mid 2$, one can do it like that: Let Y be a lattice with $Y_{\mathfrak{p}} \cong L_{\mathfrak{p}}$.
 Construct a minimal chain of overlattices

$$Y = Y^{(0)} \subsetneq Y^{(1)} \subsetneq \dots \subsetneq Y^{(r)}$$

such that $Y_{\mathfrak{p}}^{(r)}$ is maximal and $Y^{(i)} \subseteq \mathfrak{p}^{-1}Y^{(i-1)}$.

Find a lattice $Y^{(r-1)}$ between $\mathfrak{p}M$ and M such that $Y^{(r-1)} \sim Y^{(r-1)}$ etc.

Computing isometries of definite lattices I

Suppose first $K = \mathbb{Q}$ and let L be a lattice in a definite space (V, Φ) . Let (b_1, \dots, b_m) be a basis of L and $B > 0$.

First: Enumerate $L_{\leq B} := \{x \in L \mid \Phi(x, x) \leq B\}$

The Finke-Pohst method is based on the Cholesky decomposition: There are $q_{i,j} \in \mathbb{Q}$ such that

$$\Phi(x, x) = \sum_{i=1}^m q_{i,i} \left(x_i + \sum_{j=i+1}^m q_{ij} x_j \right)^2 \quad \text{for all } x = \sum_i x_i b_i \in L.$$

Then $\Phi(x, x) \leq B$ implies $x_m^2 q_{m,m} \leq B$. Hence there are only finitely many possibilities for x_m .

Similarly, $q_{m-1,m-1} (x_{m-1} + q_{m-1,m} x_m)^2 \leq B - q_{m,m} x_m^2$. Thus for fixed x_m there are only finitely many possibilities for x_{m-1} , etc.

So $L_{\leq B}$ is finite and can be enumerated by backtracking.

Computing isometries of definite lattices II

The following algorithm computes an isometry $\varphi: L \rightarrow L'$ between lattices L, L' in definite spaces (V, Φ) and (V', Φ') .

Plesken & Souvignier

- 1 Let $B > 0$ such that $L_{\leq B} := \{x \in L \mid \Phi(x, x) \leq B\}$ generates L .
- 2 Suppose $\{b_1, \dots, b_m\} \subseteq L_{\leq B}$ generates V , so φ is uniquely determined by $\varphi(b_i) \in L'_{\leq B}$.
- 3 If $\varphi(b_1), \dots, \varphi(b_{i-1})$ are already chosen, pick $\varphi(b_i) \in L'_{\leq B}$ such that

$$\Phi(b_i, b_j) = \Phi'(\varphi(b_i), \varphi(b_j)) \text{ for all } 1 \leq j \leq i.$$

If no such image $\varphi(b_i)$ exists, backtrack and choose a different image for b_{i-1} .

A modification can be used to compute generators of $\text{Aut}(L)$.

There are several tricks that speed up this search

- 1 Every isometry φ must respect the fingerprint

$$\#\{y \in L=D \mid \Phi(x, y) = c\}$$

for $D \in \{\varphi(x, x) \mid x \in L_{\leq B}\}$ and $c \in \{\varphi(x, y) \mid x, y \in L_{\leq B}\}$.

- 2 R. Bacher associates to any $v \in L$ with $\ell := \Phi(v, v)$ a polynomial $B_v(T) \in \mathbb{Z}[T]$ as follows.

For $w \in W_v := \{x \in L \mid \Phi(x, x) = \ell, \Phi(x, v) = \ell/2\}$. Let

$$n_w = \#\{(x, y) \in W_v^2 \mid \Phi(x, w) = \Phi(y, v) = \Phi(x, y) = \ell/2\}.$$

Then $B_v(T) := \sum_{w \in W_v} T^{n_w}$. Since B_v is defined by scalar products, we have $B_v = B_{\varphi(v)}$ for each isometry φ .

- 3 W. Unger uses J. Leon's ideas on partition refinement to speed up the backtrack search in recent versions of Magma.
- 4 φ induces isometries between certain canonical sub/overlattices of L and L' . E.g. between $\rho_p(L)$ and $\rho_p(L')$ where ρ_p is Watson p -maps (more later).

Computing isometries of definite lattices III

Obvious changes to the above method only computes isometries $L \rightarrow L$ which preserve some additional bilinear forms.

Suppose now $K \neq \mathbb{Q}$ and let L be a \mathbb{Z}_K -lattice in a definite bilinear space (V, Φ) . For $a \in K$,

$$\Phi_a: V \times V \rightarrow \mathbb{Q}, (x, y) \mapsto \text{Tr}_{K/\mathbb{Q}}(a\Phi(x, y))$$

defines a bilinear form on the \mathbb{Q} -vector space $V_{\mathbb{Q}}$.

Note that Φ_1 is positive definite. Further, for any \mathbb{Z} -linear map $\varphi: L \rightarrow L$, the following statements are equivalent:

- φ is an isometry in (V, Φ) .
- φ is an isometry in $(V_{\mathbb{Q}}, \Phi_1)$ which preserves Φ_a where $K = \mathbb{Q}(a)$.

The maps φ satisfying the latter property can be enumerated as seen before.

How to compute $h(L)$?

Problem:

The orthogonal group $O(V, \Phi)$ does not have the strong approximation property.

- 1 For an anisotropic vector $v \in V$, the reflection

$$\tau_v: V \rightarrow V, w \mapsto w - \frac{2\Phi(w, v)}{\Phi(v, v)}v$$

is isometry on (V, Φ) and $O(V, \Phi)$ is generated by reflections.

- 2 The spinor norm is the homomorphism defined by

$$\theta: O(V, \Phi) \rightarrow K^*/(K^*)^2, \tau_v \mapsto \Phi(v, v).$$

The subgroup

$$S(V, \Phi) = \{\varphi \in O(V, \Phi) \mid \det(\varphi) = 1 \text{ and } \theta(\varphi) = 1\}$$

does have the strong approximation property.

- 1 Two lattices L and M in (V, Φ) are said to be in the same spinor genus if there exists some $\varphi \in \mathcal{O}(V, \Phi)$ such that

$$\varphi(L)_{\mathfrak{p}} = \sigma_{\mathfrak{p}}(M_{\mathfrak{p}}) \quad \text{with } \sigma_{\mathfrak{p}} \in \mathcal{S}(V_{\mathfrak{p}}, \Phi) \text{ for all } \mathfrak{p} \in \mathbb{P}.$$

The spinor genus of L is denoted by $\text{sgen}(L)$.

- 2 Every genus is a finite union of spinor genera.
 - 3 Every spinor genus is a finite union of isometry classes.
- Step (2) from above is completely understood and can be made explicit in terms of a ray class groups of K and the spinor norm groups of $L_{\mathfrak{p}}$. The latter can be computed by work of Kneser and Beli.
 - If (V, Φ) is indefinite, then $\text{cls}(L) = \text{sgen}(L)$.
 - So we have to discuss step (3) for definite spaces.

Representatives of the isometry classes in $\text{sgen}(L)$.

Let (V, Φ) be definite and let L be a lattice in (V, Φ) and let $\mathfrak{p} \in \mathbb{P}$.
W.l.o.g. $L \subseteq L^\#, \mathfrak{p} \nmid 2$, $L_{\mathfrak{p}}$ is unimodular and $(V_{\mathfrak{p}}, \Phi)$ is isotropic.

Definition

A lattice L' in V is called a \mathfrak{p} -neighbour of L , if $L'_{\mathfrak{p}}$ is unimodular and

$$L/(L \cap L') \cong L'/(L \cap L') \cong \mathbb{Z}_K/\mathfrak{p} \quad \text{as } \mathbb{Z}_K\text{-modules.}$$

- 1 There exists an explicit finite subset $X \subset L$ such that

$$\{\{y \in L \mid \Phi(x, y) \in \mathfrak{p}\} + \mathfrak{p}^{-1}x \mid x \in X\}$$

is the set of all \mathfrak{p} -neighbours of L .

This allows us to compute the set of all \mathfrak{p} -neighbours quickly.

- 2 Every \mathfrak{p} -neighbour of L lies in $\text{gen}(L)$.
- 3 Every isometry class in $\text{sgen}(L)$ is represented by an iterated \mathfrak{p} -neighbour of L .

Let E be one of the following K -algebras with involution $\bar{} : E \rightarrow E$:

- 1 $E = K$ and $\bar{} = \text{id}_K$.
- 2 E/K a quadratic field extension with $\text{Gal}(E/K) = \{\text{id}_E, \bar{}\}$.
- 3 E a quaternion algebra over K (i.e. a four-dimensional central simple K -algebra) and $\bar{}$ the canonical involution on E .

Definition

A hermitian space is a finite dimensional (left) vector space V over E equipped with a regular sesquilinear form $\Phi : V \times V \rightarrow E$ such that

- $\Phi(\alpha x + x', y) = \alpha\Phi(x, y) + \Phi(x', y)$ for all $\alpha \in E$ and $x, x', y \in V$,
- $\Phi(x, y) = \overline{\Phi(y, x)}$ for all $x, y \in V$.

Definite lattices with class number $\leq B$

Let \mathcal{O} be a maximal order in E and let L be a lattice in a hermitian space (V, Φ) over E .

- 1 The definitions of isometries, genera, class numbers, etc. carry over to hermitian lattices.
- 2 The algorithms from before can be extended to lattices in hermitian spaces.
- 3 If (V, Φ) is indefinite, then the class number of L is again known a priori and depends only on some invariants of L and E (strong approximation).
- 4 If (V, Φ) is definite, the class number of L is not known a priori, but it can be computed using Kneser's method just as before.

Goal

Classify all one-class genera of lattices in definite hermitian spaces.

Known: There are only finitely many such genera (up to similarity).

Watson's transformations

Suppose $E = K$. For $\mathfrak{p} \in \mathbb{P}$ define

$$\rho_{\mathfrak{p}}(L) := L + (\mathfrak{p}^{-1}L \cap \mathfrak{p}L^{\#})$$

If $L_{\mathfrak{p}} = L_0 \perp \dots \perp L_s$ is a Jordan decomposition, then

- $h(L) \geq h(\rho_{\mathfrak{p}}(L))$.
- $\rho_{\mathfrak{p}}(L_{\mathfrak{p}}) = (L_0 \perp \mathfrak{p}^{-1}L_2) \perp (L_1 \perp \mathfrak{p}^{-1}L_3) \perp \mathfrak{p}^{-1}(L_4 \perp \dots \perp L_s)$
- $\rho_{\mathfrak{p}}(L) = L \iff L_{\mathfrak{p}} = L_0 \perp L_1$ if this is the case, then $L_{\mathfrak{p}}$ is called square-free.

Idea:

It suffices to enumerate the definite, square-free hermitian lattices with class number 1.

Definition

If $\text{gen}(L) = \biguplus_{i=1}^h \text{cls}(L_i)$, then $\text{Mass}(L) := \sum_{i=1}^h \frac{1}{\#\text{Aut}(L_i)}$ is the mass of L .

To avoid case distinctions, suppose that E/K is a CM-extension with $n = [K : \mathbb{Q}]$. The other cases work along the same lines.

Let χ be the non-trivial character of $\text{Gal}(E/K)$. Set

$$\begin{aligned} \mathfrak{L}_K(1, s) &= \zeta_K(s) && \text{the Dedekind zeta funktion of } K \\ \mathfrak{L}_K(\chi, s) &= \zeta_E(s)/\zeta_K(s) && \text{the L-function attached to } \chi \end{aligned}$$

Theorem (Siegel, 1935)

Let L be a lattice in a definite hermitian space over E of rank m . Then

$$\text{Mass}(L) = 2^{1-nm} \cdot \prod_{i=1}^m |\mathfrak{L}_K(\chi^i, 1-i)| \cdot \prod_{\mathfrak{p}} \lambda(L_{\mathfrak{p}})$$

with some local factors $\lambda(L_{\mathfrak{p}}) \in \mathbb{Q}_{>0}$.

The local factors are known in almost all cases, e.g.

- if $2 \notin \mathfrak{p}$ or \mathfrak{p} is unramified in E (Gan&Yu).
- if $L_{\mathfrak{p}}$ is maximal (Shimura).
- if $2 \in \mathfrak{p}$ is ramified in E and $K_{\mathfrak{p}}/\mathbb{Q}_2$ is unramified (Cho).
- if $L_{\mathfrak{p}}$ is square-free (K.)

Fact: $\lambda(L_{\mathfrak{p}}) \in \frac{1}{2}\mathbb{Z}$ and if $\lambda(L_{\mathfrak{p}}) = \frac{1}{2}$ then m is odd and \mathfrak{p} ramifies in E .

The possible spaces

Suppose L is square-free, has rank $m \geq 3$ and $h(L) \leq B$. Siegel's Maß formula and the analytic class number formula show that

$$\begin{aligned} B &\geq \#\mu(E) \cdot \text{Mass}(L) \\ &\geq \left(\frac{2\pi}{\gamma_m}\right)^n \cdot d_K^{(m^2-1)/2} \cdot \underbrace{\text{Nr}_{K/\mathbb{Q}}(d_{E/K})^{m'-1/2} \cdot \prod_{\mathfrak{p}} \lambda(L_{\mathfrak{p}})}_{\geq 1}. \quad (\star) \end{aligned}$$

where

- $\mu(E)$ = roots of unity in E^* .
- $m' = \frac{m(m-(-1)^m)}{4} \geq 3$.
- $\gamma_m = \prod_{i=1}^m \frac{(2\pi)^i}{(i-1)!}$.
- d_K = absolute value of the discriminant of K .
- $d_{E/K}$ = relative discriminant of E/K .

The possible spaces

- ① (\star) yields an upper bound on the root discriminant $d_K^{1/n}$. For example $B = 2$ implies that $m \leq 16$ and

m	3	4	5	6	7	8	9	...
$d_K^{1/n} <$	9.13	6.83	5.49	4.59	3.95	3.47	3.09	

J. Voight lists all totally real number fields K with $d_K^{1/n} \leq 14$, $\rightsquigarrow K$.

- ② For fixed K , (\star) yields all possibilities for $d_{E/K}$. Class field theory $\rightsquigarrow E$.
- ③ If $\det(V_{\mathfrak{p}}, \Phi) \notin \text{Nr}(E_{\mathfrak{p}}^*)$, then

$$\mathfrak{p} \mid d_{E/K} \quad \text{or} \quad \lambda(L_{\mathfrak{p}}) \geq \frac{1}{2} \text{Nr}(\mathfrak{p})^{m-1}.$$

Hence (\star) yields all possibilities for

$$\{\mathfrak{p} \mid \det(V_{\mathfrak{p}}, \Phi) \notin \text{Nr}(E_{\mathfrak{p}}^*)\}.$$

All in all: Only finitely many combinations for $K, E, (V, \Phi)$.

The squarefree lattices in (V, Φ) with class number $\leq B$

Let L be a squarefree lattice in a definite hermitian space (V, Φ) with class number $\leq B$.

- Let M be a maximal lattice in (V, Φ) . W.l.o.g. $L \subseteq M$.
- If $M_{\mathfrak{p}} \neq L_{\mathfrak{p}}$ then

$$\mathfrak{p} \mid d_{E/K} \quad \text{or} \quad \lambda(L_{\mathfrak{p}}) \geq \frac{1}{2} \text{Nr}(\mathfrak{p})^{m-1}.$$

- Hence (\star) yields all possibilities for

$$\{\mathfrak{p} \in \mathbb{P} \mid M_{\mathfrak{p}} \neq L_{\mathfrak{p}}\}.$$

This gives a bound $a \in \mathbb{N}$ which does not depend on L such that $aM \subseteq L \subseteq M$.

Binary hermitian lattices

If $m = \dim_E(V) = 2$, one gets all possible fields K just as before. But

$$B \geq \#\mu(E) \cdot \text{Mass}(L) \geq (2\pi)^{-2n} \cdot \frac{\#\text{Cl}(\mathcal{O})}{Q \cdot \#\text{Cl}(\mathbb{Z}_K)} \cdot d_K^{3/2} \cdot \zeta_K(2)$$

where $Q = [\mathcal{O}^* : \mu(E)\mathbb{Z}_K^*] \in \{1, 2\}$ is Hasse's unit index.

For example $K = \mathbb{Q}(\sqrt{5})$ and $B = 2$ yields $\#\text{Cl}(\mathcal{O}) \leq 120$. If E/\mathbb{Q} is cyclic, Louboutin shows that

$$\text{Nr}_{K/\mathbb{Q}}(d_{E/K}) < 1.68 \cdot 10^{11}.$$

Without the assumptions on E/\mathbb{Q} the bound becomes even worse. So $m = 2$ is out of reach with current methods.

If $K = \mathbb{Q}$ and $B = 2$ then $\#\text{Cl}(\mathcal{O}) \leq 48$. All imaginary quadratic number fields with class number ≤ 100 were enumerated by Watkins in his thesis. So $K = \mathbb{Q}$ is feasible.

Some results

Similarly, if $\dim_K(V) = 2$ this lead to relative class number problems (Gauß) which are currently out of reach.

In all other cases, a complete classification is possible. Below are the number of genera with class number one

case $h(L) = 1$	max rank(L)	max $[K : \mathbb{Q}]$	$\#E$	$\#K$	$\#genera$
$E = \mathbb{Q}$	10				1884 (Watson)
$E = K \neq \mathbb{Q}$	6	5		29	4019 (Lorch)
$\dim_K(E) = 2$	8	3	10	5	164
$\dim_K(E) = 4$	4	5	69	29	—

and with class number two

case $h(L) = 2$	max rank(L)	max $[K : \mathbb{Q}]$	$\#E$	$\#K$	$\#genera$
$E = \mathbb{Q}$	16				7283
$E = K \neq \mathbb{Q}$	8	5		75	17.064
$\dim_K(E) = 2$	9	4	19	9	406
$\dim_K(E) = 4$	5	8	148	60	—